



#3

Sector

Rev. 12/19/00

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Saleem H. Zaidi

Docket No.: S-041,101

Serial No.: 09/834,308

Examiner :

Filed : April 11, 2001

Art Unit : 1753

For : ENHANCED LIGHT ABSORPTION OF
SOLAR CELLS AND PHOTODETECTORS
BY DIFFRACTION

Box MISSING PARTS
Assistant Commissioner for Patents
Washington, DC 20231

COMPLETION OF FILING REQUIREMENTS

- I. ☒ The following is a reply to the Notice to File Missing Parts of Application (PTO-1533) dated June 07, 2001.
- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

- II. ☒ No original declaration or oath was filed and enclosed is the original declaration or oath for this application.
- ☐ The original declaration or oath which was filed was determined to be defective. A new original oath or declaration is attached.

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8(a))

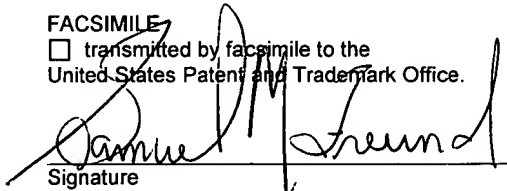
I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, DC 20231.

FACSIMILE

☐ transmitted by facsimile to the United States Patent and Trademark Office.


SignatureDate July 28, 2001

Samuel M. Freund
(type or print name of person certifying)

Attached is a

- ☐ Statement by a registered attorney that the application filed in the PTO is the application which the inventor executed by signing the declaration.
- ☐ Statement that the "attached" specification is a copy of the specification and any amendments thereto which were filed in the PTO to obtain the filing date.

AMENDMENT CANCELING CLAIMS

- III. ☐ Cancel claims _____.

SMALL ENTITY STATUS

- IV. ☒ Applicant is entitled to small entity status.
- ☐ Applicant is other than a small entity.

COMPLETION FEES

- V. 1. Filing fee
- ☒ original patent application 37 CFR 1.16(a))-
\$710.00; Small entity-\$355.00 \$355.00 _____
2. Fees for claims
- ☐ each independent claim in excess of 3
(37 CFR 1.16(b))- \$80.00; small entity-\$40.00 \$ _____
- ☒ each claim in excess of 20 (37 CFR 1.16(c))-
\$18.00; small entity-\$9.00 \$36.00 _____
- ☐ multiple dependent claim(s) (37 CFR 1.16(d))-
\$270.00; small entity-\$135.00 \$ _____
3. Surcharge fees
- ☒ late payment of filing fee
- and/or
- ☒ late filing of original declaration or oath
(37 CFR 1.16(e))- \$130.00; small
entity-\$65.00 \$65.00 _____

4. ☐ Petition and fee for filing by other than all the inventors or a person not the inventor
(37 CFR 1.47)-\$130.00 \$ _____

TOTAL COMPLETION FEES \$456.00

EXTENSION OF TIME FEES

(complete (a) or (b) as applicable)

VI. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136(a) apply.

- (a) ☐ Applicant petitions for an extension of time, the fees for which are set out in 37 CFR 1.17(a)-(d), for the total number of months checked below:

<u>Extension (months)</u>	<u>Fee for other than small entity</u>	<u>Fee for small entity</u>
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 390.00	\$195.00
<input type="checkbox"/> three months	\$ 890.00	\$445.00
<input type="checkbox"/> four months	\$1,390.00	\$695.00

EXTENSION OF TIME FEE \$ _____

- ☐ An extension for _____ months has already been secured and the fee paid therefor of \$ _____ is deducted from the total fee due for the total months of extension now requested.

EXTENSION FEE DUE WITH THIS REQUEST \$ _____

or

- (b) ☐ Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

TOTAL FEE DUE

VII. The total fee due is

Completion fee(s) \$456.00
Extension fee (if any) \$ _____

TOTAL FEE DUE \$456.00

VIII.

- ☒ enclosed is a check in the amount of \$456.00
☐ charge Account No. _____ in the amount of _____

AUTHORIZATION TO CHARGE ADDITIONAL FEES

IX.

- ☐ The Commissioner is hereby authorized to charge the following additional fees which may be required by this paper and during the pendency of this application to Account No.
- ☐ 37 CFR 1.16(a), (f), or (g) (filing fees)
- ☐ 37 CFR 1.16(b), (c), or (d) (presentation of extra claims)
- ☐ 37 CFR 1.17 (application processing fees)
- ☐ 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b))

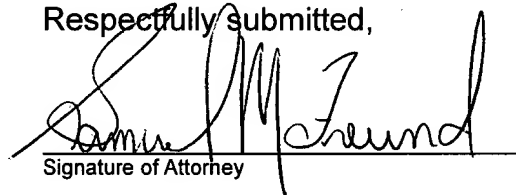
X. As to any overpayment made please

- ☐ Credit to Account No.
- ☒ Send refund check.

Date: July 28, 2001

Reg. No. 30,459
Phone (505) 667-9701

Respectfully submitted,


Signature of Attorney

Samuel M. Freund
Los Alamos National Laboratory
LC/BPL, MS D412
Los Alamos, New Mexico 87545



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/834,308	04/11/2001	Saleem H. Zaidi	S-041101

CONFIRMATION NO. 3035

FORMALITIES LETTER



OC00000006161419

Samuel M. Freund
11 Timber Ridge Road
Los Alamos, NM 87544

Date Mailed: 06/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$72.
 - \$72 for 4 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 912.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

08/07/2001 BNGUYEN1 00000047 09834308

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:201 355.00 OP
02 FC:203 36.00 OP
03 FC:205 65.00 OP